

Guarantee SBLC Advised - Claim Settlement Islamic User Guide  
**Oracle Banking Trade Finance Process  
Management**

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Oracle Banking Trade Finance Process Management - Guarantee SBLC Advised- Claim Settlement Islamic User Guide  
Oracle Financial Services Software Limited

Oracle Park  
Off Western Express Highway  
Goregaon (East)  
Mumbai, Maharashtra 400 063  
India  
Worldwide Inquiries:  
Phone: +91 22 6718 3000  
Fax: +91 22 6718 3001  
[www.oracle.com/financialservices/](http://www.oracle.com/financialservices/)

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# Contents

<b>Oracle Banking Trade Finance Process Management</b> .....	<b>1</b>
Overview.....	1
Benefits.....	1
Key Features .....	1
<b>Guarantee SBLC Advised - Claim Settlement Islamic</b> .....	<b>2</b>
Common Initiation Stage.....	2
Registration.....	3
Application Details .....	5
Guarantee Details.....	6
Miscellaneous.....	8
Data Enrichment .....	9
Main Details.....	11
Additional Fields .....	15
Advices .....	17
Additional Details.....	20
Settlement Details .....	26
Summary .....	30
Multi Level Approval.....	33
Re-Key Authorization.....	33
<b>Reference and Feedback</b> .....	<b>38</b>
References.....	38
Documentation Accessibility.....	38
Feedback and Support.....	38

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# Oracle Banking Trade Finance Process Management

Welcome to the Oracle Banking Trade Finance Process Management (OBTFPM) User Guide. This guide provides an overview on the OBTFPM application and takes you through the various steps involved in creating and processing Trade Finance transactions.

This document will take you through following activities in OBTFPM:

- To create and handle Trade Finance transaction.
- Help users to conveniently create and process Trade Finance transaction.

## Overview

OBTFPM is a Trade Finance middle office platform, which enables bank to streamline the trade finance operations. OBTFPM enables the customers to send request for new trade finance transaction either by visiting the branch (offline channels) or through SWIFT/Trade Portal/other external systems (online channels).

## Benefits

OBTFPM helps banks to manage Trade Finance operations across the globe in different currencies. OBTFPM allows you to:

- Handle all Trade Finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during transaction.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of trade transactions that reoccur periodically.

## Key Features

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank's existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.

# Guarantee SBLC Advised - Claim Settlement Islamic

The claim requested for Guarantee/SBLC can be settled through the Settlement Process.

The various stages involved for Claim Settlement of Guarantee Issued are:

- Receive and verify documents- Registration stage
- Input application details
- Upload of related mandatory and non-mandatory documents
- Verify documents and capture details- Data Enrichment stage
- Check balance availability for amount block
- Check for sanctions & KYC status
- Create amount block for charges
- Capture remarks for other users to check and act
- Hand off request to back office

The design, development and functionality of the Islamic Guarantee Advice Claim Settlement process flow is similar to that of conventional Guarantee Advice process flow.

This section contains the following topics:.

[Common Initiation Stage](#)

[Registration](#)

[Data Enrichment](#)

[Multi Level Approval](#)

## Common Initiation Stage

The user can initiate the new settlement for a claim lodged under a Islamic Guarantee/SBLC advised request from the common Initiate Task screen.

1. Using the entitled login credentials, login to the OBTFPM application.
2. Click **Trade Finance > Initiate Task**.

The screenshot displays the Oracle OBTFPM application interface. The main content area is titled 'Initiate Task' and shows a 'Registration' form. The form includes two dropdown menus: 'Process Name' (selected: 'Guarantee Advise Claim Settime...') and 'Branch \*' (selected: 'PK2-Oracle Banking Trade Finan...'). At the bottom right of the form, there are 'Proceed' and 'Clear' buttons. The left sidebar contains a navigation menu with 'Initiate Task' highlighted. The top right corner shows the user 'ZARTAB01 subham@gmail.com' and the date 'May 5, 2021'.

Provide the details based on the description in the following table:

Field	Description
Process Name	Select the process name to initiate the task.
Branch	Select the branch.

### Action Buttons

Use action buttons based on the description in the following table:

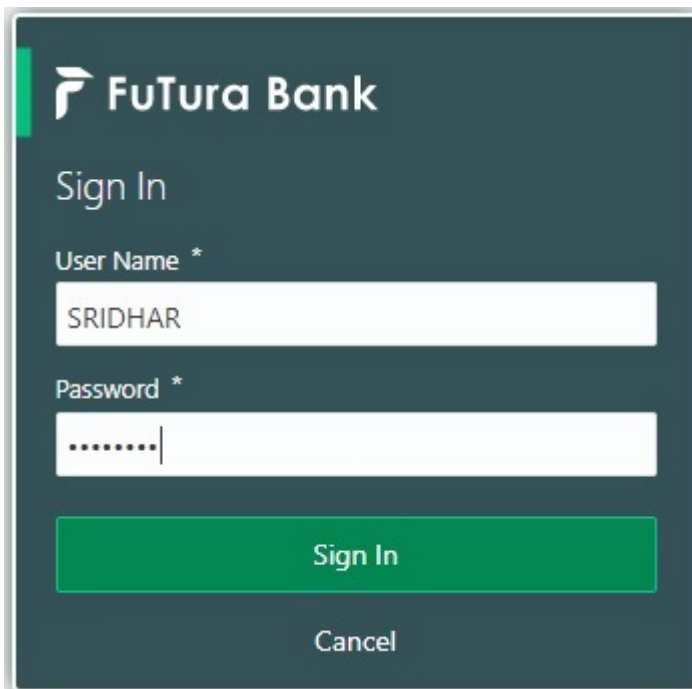
Field	Description
Proceed	Task will get initiated to next logical stage.
Clear	The user can clear the contents update and can input values again.

## Registration

During the Registration stage, the user can register settlement of claim under a Guarantee/SBLC Advised.

In this stage the user can initiate Settlement of Claim under a Guarantee/ SBLC Advised. The user can capture the basic details of the application.

1. Using the entitled login credentials for registration stage, login to the OBTFPM application.

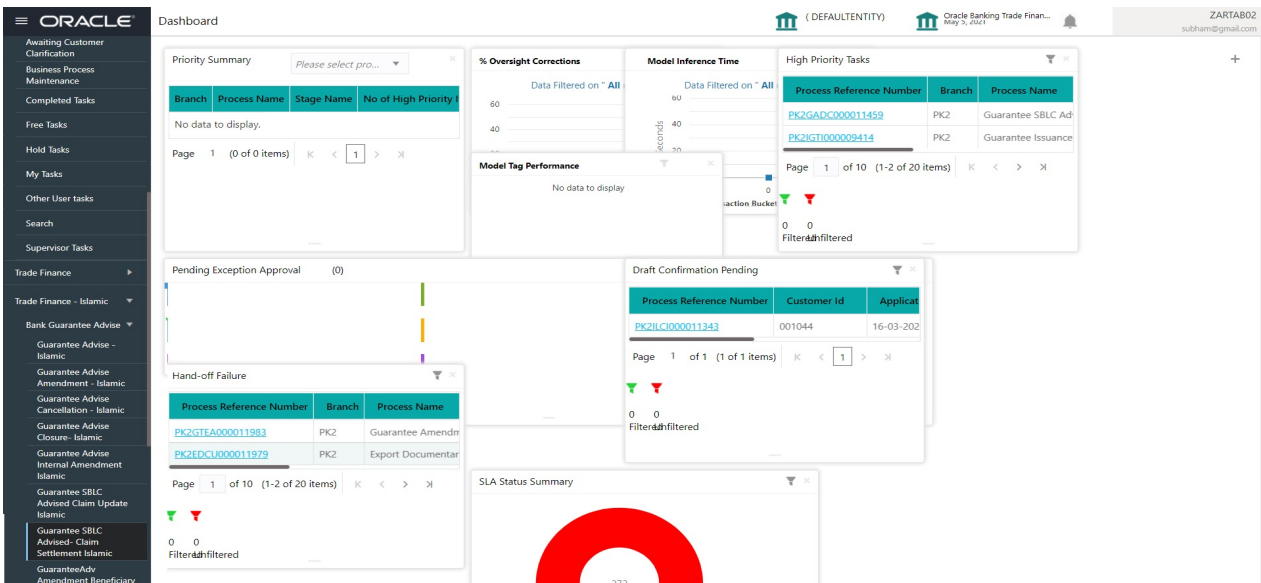


The screenshot shows a dark-themed login window for FuTura Bank. At the top left is the FuTura Bank logo. Below it, the text 'Sign In' is displayed. There are two input fields: 'User Name \*' with the text 'SRIDHAR' and 'Password \*' with masked characters. At the bottom, there are two buttons: a green 'Sign In' button and a 'Cancel' button.

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



3. Click Trade Finance - Islamic > Bank Guarantee Advise> Guarantee SBLC Advised - Claim Settlement Islamic.



The Registration stage has two sections Application Details and Guarantee Details. Let's look at the registration screens below:

## Application Details

Guarantee SBLC Advised- Claim Settlement Islamic

Documents Remarks Customer Instruction

### Application Details - Main

Guarantee/SBLC Number PK2GUA1211256002	Claim Serial Number 8	Beneficiary ID/Name * 001044 GOODCARE PLC	Branch PK2-PK2-Oracle Banking Trade F...
Process Reference Number PK2IGTC000012115	Priority Medium	Submission Mode Desk	Claim Settlement Date May 5, 2021
Beneficiary Reference Number	Issuing Bank 001183 RABO BANK	Issuing Bank Reference Number	Version 1

View Guarantee/SBLC Guarantee/SBLC Events

### Guarantee Details

Guarantee Type OTHR	30 Date of Issue May 5, 2021	Purpose of Message ADVI	23B Expiry Type COND
31E Date of Expiry Nov 2, 2021	Claim Date May 5, 2021	Claim Expiry Date Nov 2, 2021	Outstanding Currency/ Amount * GBP £1,212.00
40C Applicable Rules URDG - Uniform rules for dema...	Applicant Bank	50 Applicant 001043 MARKS AND SP	59A Beneficiary 001044 GOODCARE PLC
Advising Bank	Advise Through Bank	Counter Guarantee Issuing Bank	Local Guarantee Issuing Bank
Claim Amount GBP £123.00	Claim Payment Amount GBP	Unlinked FX rate	


Hold Cancel Save & Close Submit

The request is received at the Branch/ Front office or Processing centre. The user should be able to input the following details.

Provide the Application Details based on the description in the following table:

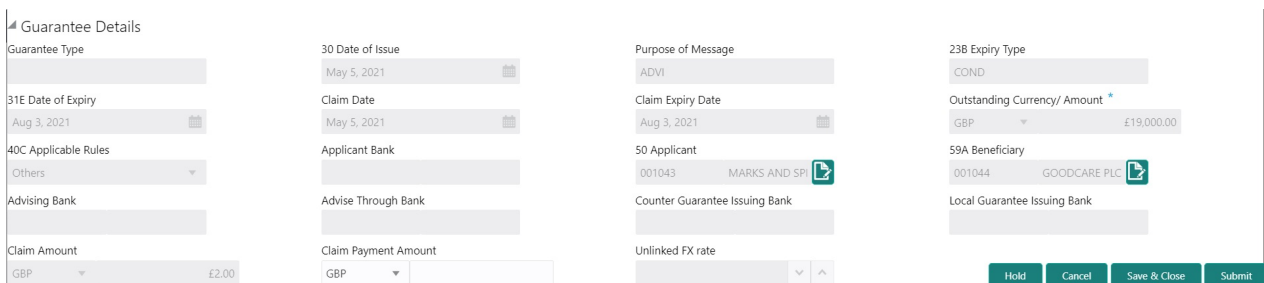
Field	Description	Sample Values
Guarantee/SBLC Number	User can enter the undertaking number. The user can also search the undertaking number through LOV search.	
Claim Serial Number	User can enter the claim serial number to which update has to be done.	
Beneficiary ID/ Name	Read only field. System defaults the Beneficiary ID/ Name from Guarantee/ SBLC Advise.	001345
Branch	Customer's home branch will be displayed. Read only field. System defaults the branch name from Guarantee/ SBLC Advise.	203-Bank Futura -Branch FZ1
Process Reference Number	Unique sequence number for the transaction. This is auto generated by the system based on process name and branch code.	203GTEISS000 001134
Priority	Priority maintained will be populated as either 'Low or Medium or High'. If priority is not maintained for a customer, 'Medium' priority will be defaulted.	High



Field	Description	Sample Values
Submission Mode	Select the submission mode of Guarantee Advise request. By default the submission mode will have the value as 'Desk'.  <b>Desk</b> - Request received through Desk <b>Fax</b> - Request received through Fax <b>Email</b> - Request received through Email	Desk
Claim Settlement Date	By default, the application will display branch's current date for the claim settlement date. Read only field.   <b>Note</b> Future date and back date selection is not allowed.	04/13/2018
Beneficiary Reference Number	User can enter the Beneficiary Reference Number if available.	
Issuing Bank	System defaults the Issuing Bank (applicable for CTB,LTB)	
Issuing Bank Reference Number	Read only field. System defaults the Issuing Bank Reference (applicable for CTB,LTB)	203GTEISS000 001134
Version	System defaults the version number.	

## Guarantee Details

Registration user can provide Guarantee details in this section.



Provide the Guarantee Details based on the description in the following table:

Field	Description	Sample Values
Guarantee Type	Read only field. System defaults the value from Guarantee/ Standby Advised.	ADVP
Date of Issue	Read only field. System defaults the value from Guarantee/ Standby Advised.	04/13/18

Field	Description	Sample Values
Purpose of message	Read only field. System defaults the purpose of message from Guarantee/ Standby Advised.	
Expiry Type	Read only field. System defaults the expiry type from Guarantee/ Standby Advised.	
Date Of Expiry	Expiry date of the Guarantee Adise. System defaults the expiry date from Guarantee/ Standby Advised.	09/30/18
Claim Date	System defaults the claim date from Guarantee/ Standby Advised.	04/13/2018
Claim Expiry Date	System defaults the claim expiry date from Guarantee/ Standby Advised.	04/13/2018
Outstanding Currency/ Amount	System defaults the outstanding currency and amount from Guarantee/ Standby Advised.	
Applicable Rules	Rules for Guarantee. Read only field. System defaults the value from Guarantee/ Standby Advised.	URDG - Uniform rules for demand guarantees
Applicant Bank	Read only field. System defaults the applicant bank details from Guarantee/ Standby Advised.	001345 Nestle
Applicant	Read only field. System defaults the applicant from Guarantee/ Standby Advised.	001345 Nestle
Beneficiary	Read only field. System defaults the beneficiary from Guarantee/ Standby Advised.	001345 Nestle
Advising Bank	Read only field. System defaults the advising bank if available.	001343 - Bank Of America
Advising Through Bank	Read only field. System defaults the advising through bank if available.	Advising Bank Reference
Counter Guarantee Issuing Bank	Read only field. System defaults the counter guarantee issuing through bank from Guarantee/ Standby Advised.	
Local Guarantee Issuing Bank	Read only field. System defaults the local guarantee issuing bank from Guarantee/ Standby Advised.	

Field	Description	Sample Values
Claim Amount	User can enter the claim amount.	
Claim Payment Amount	User can enter the claim payment amount.	
Unlinked FX rate	If claim currency is different from local currency system will display the unlinked FX rate.	

## Miscellaneous

Guarantee SBLC Advised- Claim Settlement Islamic

Application Details - Main

Guarantee/SBLC Number: PK2GUAD211250001

Claim Serial Number: 6

Beneficiary ID/Name: 001044 GOODCARE PLC

Branch: PK2-PK2-Oracle Banking Trade F...

Process Reference Number: PK2GADC000007155

Priority: Medium

Submission Mode: Desk

Claim Settlement Date: May 5, 2021

Beneficiary Reference Number: PK2GUAD211250001

Issuing Bank: 003763 CITIBANK IRELA

Issuing Bank Reference Number:

Version: 1

View Guarantee/SBLC | Guarantee/SBLC Events

Guarantee Details

Guarantee Type:

31E Date of Expiry: Aug 3, 2021

30 Date of Issue: May 5, 2021

Claim Date: May 5, 2021

40C Applicable Rules: Others

Applicant Bank:

50 Applicant: 001043 MARKS AND SP

23B Expiry Type: COND

Advising Bank:

Counter Guarantee Issuing Bank:

Outstanding Currency/ Amount: GBP £19,000.00

59A Beneficiary: 001044 GOODCARE PLC

Local Guarantee Issuing Bank:

Claim Amount: GBP £2.00

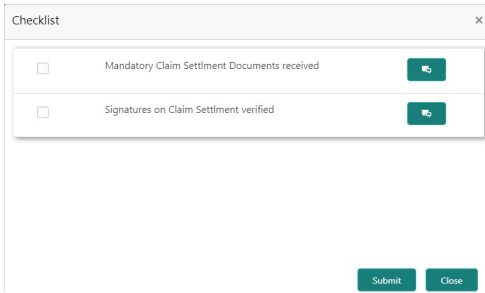
Claim Payment Amount: GBP

Unlinked FX rate:

Hold | Cancel | Save & Close | Submit

Provide the Miscellaneous Details based on the description in the following table:

Field	Description	Sample Values
Documents	User can upload the claim documents. Application will display the mandatory and optional documents.	
Remarks	User can enter the additional information regarding the Claim Guarantee Advise Settlement. This information can be viewed by other users in other stages of the process. Content from Remarks Field should be handed off to Remarks field in Backend application.	
Customer Instructions	Click to view/ input the following <ul style="list-style-type: none"> <li><b>Standard Instructions</b> – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li> <li><b>Transaction Level Instructions</b> – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li> </ul>	

Field	Description	Sample Values
View Guarantee/SBLC	User can view all the latest Guarantee/Standby LC details.	
Guarantee/SBLC Events	User can view all the previous events under the Guarantee/Standby LC.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Cancel	The Task gets cancelled and system should clear the details captured in the screen. The task will be deleted.	
Save & Close	Save the information provided and holds the task in 'My Task' for working later. This option will not submit the request.	
Submit	On Submit, system will trigger acknowledgment to the customer and give confirmation message for successful submission. Task will get moved to next logical stage of Guarantee/ SBLC Claim. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Checklist	<p>Make sure that the details in the checklist are completed and acknowledge. If mandatory checklist items are not marked, system will display an error on submit.</p> <ol style="list-style-type: none"> <li>1. Signatures on Claim verified</li> <li>2. Mandatory claim Documents received</li> </ol> 	

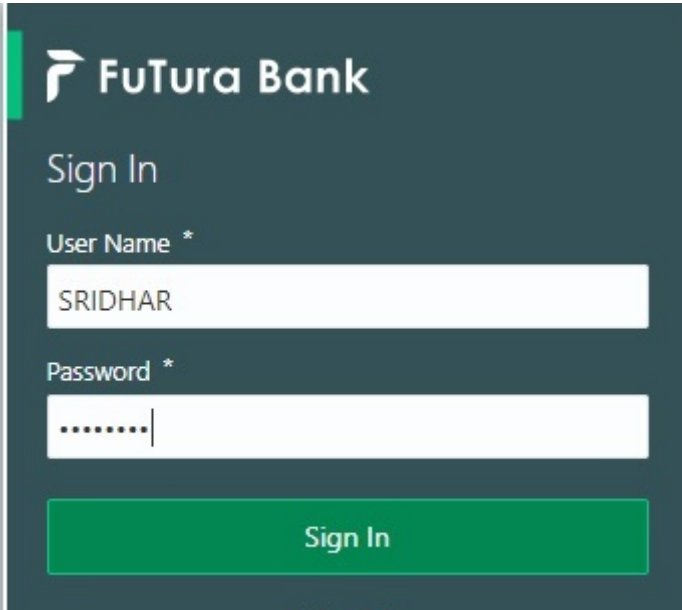
## Data Enrichment

On successful completion of Registration of a Islamic Guarantee SBLC Advise - Claim settlement request, the request moves to Data Enrichment stage. At this stage the bank user can input/update the various claim fields. The user can input the transaction details.

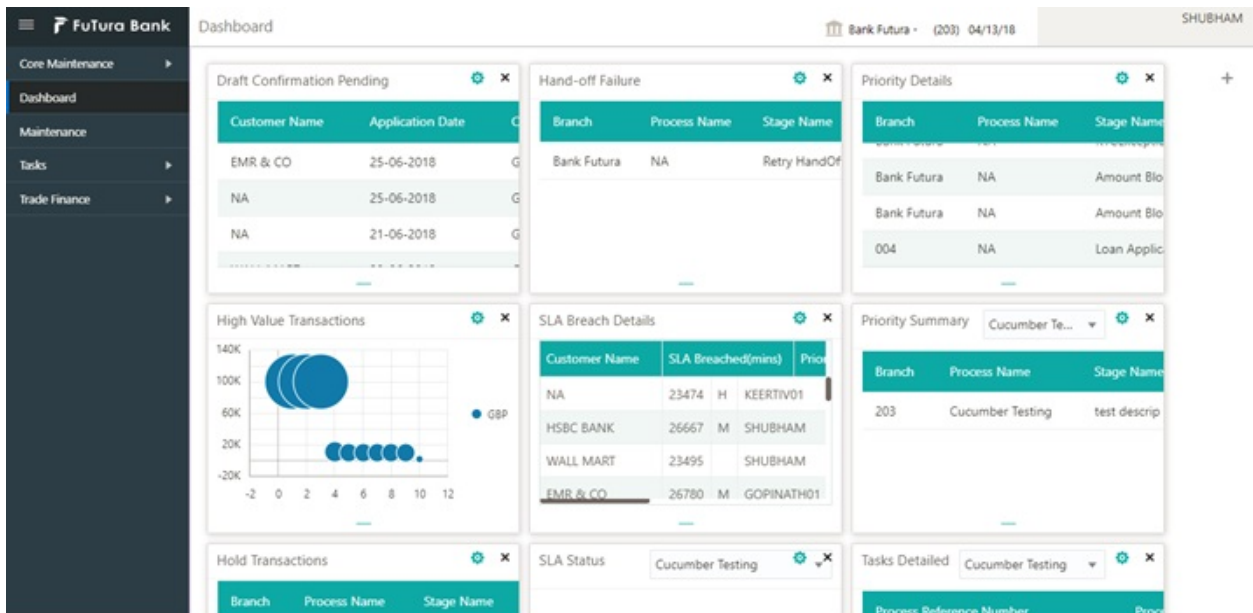
At this stage the gathered information during Registration stage and claim settlement request are scrutinized and enter the data as required.

Do the following steps to acquire a task currently at Data Enrichment stage:

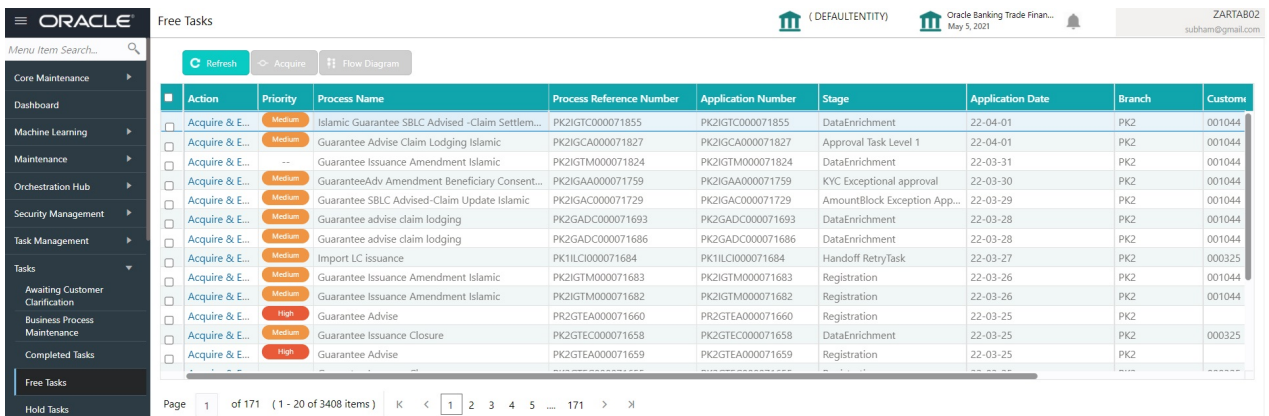
1. Using the entitled login credentials for Data Enrichment stage, login to the OBTFPM application.



2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



3. Click **Tasks > Free Tasks**.



4. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks**.

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number
<input checked="" type="checkbox"/> Acquire & E...	Medium	Islamic Guarantee SBLC Advised -Claim Settlement...	PK2IGTC000071855	PK2IGTC000071855	DataEnrichment	22-04-01	PK2	001044
<input type="checkbox"/> Acquire & E...	Medium	Guarantee Advise Claim Lodging Islamic	PK2IGCA000071827	PK2IGCA000071827	Approval Task Level 1	22-04-01	PK2	001044
<input type="checkbox"/> Acquire & E...	Medium	Guarantee Issuance Amendment Islamic	PK2IGTM000071824	PK2IGTM000071824	DataEnrichment	22-03-31	PK2	001044
<input type="checkbox"/> Acquire & E...	Medium	GuaranteeAdv Amendment Beneficiary Consent...	PK2IGAA000071759	PK2IGAA000071759	KYC Exceptional approval	22-03-30	PK2	001044
<input type="checkbox"/> Acquire & E...	Medium	Guarantee SBLC Advised-Claim Update Islamic	PK2IGAC000071729	PK2IGAC000071729	AmountBlock Exception App...	22-03-29	PK2	001044
<input type="checkbox"/> Acquire & E...	Medium	Guarantee advise claim lodging	PK2GADC000071693	PK2GADC000071693	DataEnrichment	22-03-28	PK2	001044
<input type="checkbox"/> Acquire & E...	Medium	Guarantee advise claim lodging	PK2GADC000071686	PK2GADC000071686	DataEnrichment	22-03-28	PK2	001044
<input type="checkbox"/> Acquire & E...	Medium	Import LC issuance	PK1ILCI000071684	PK1ILCI000071684	Handoff RetryTask	22-03-27	PK2	000325
<input type="checkbox"/> Acquire & E...	Medium	Guarantee Issuance Amendment Islamic	PK2IGTM000071683	PK2IGTM000071683	Registration	22-03-26	PK2	001044
<input type="checkbox"/> Acquire & E...	Medium	Guarantee Issuance Amendment Islamic	PK2IGTM000071682	PK2IGTM000071682	Registration	22-03-26	PK2	001044
<input type="checkbox"/> Acquire & E...	High	Guarantee Advise	PR2GTEA000071660	PR2GTEA000071660	Registration	22-03-25	PK2	
<input type="checkbox"/> Acquire & E...	Medium	Guarantee Issuance Closure	PK2GTEC000071658	PK2GTEC000071658	DataEnrichment	22-03-25	PK2	000325
<input type="checkbox"/> Acquire & E...	High	Guarantee Advise	PK2GTEA000071659	PK2GTEA000071659	Registration	22-03-25	PK2	

5. The acquired task will be available in **My Tasks** tab. Click **Edit** to provide input for data enrichment stage.

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number	Amount
<input checked="" type="checkbox"/> Edit	Medium	Islamic Guarantee SBLC...	PK2IGTC000071855	PK2IGTC000071855	DataEnrichment	22-04-01	PK2	001044	
<input type="checkbox"/> Edit	Medium	Islamic Guarantee SBLC...	PK2IGIC000071854	PK2IGIC000071854	Registration	22-04-01	PK2	001044	
<input type="checkbox"/> Edit	Medium	Guarantee SBLC Advise...	PK2GADC000071820	PK2GADC000071820	DataEnrichment	22-03-31	PK2	001044	
<input type="checkbox"/> Edit	Medium	Islamic Guarantee Advic...	PK2IGCD000071813	PK2IGCD000071813	DataEnrichment	22-03-31	PK2	001044	
<input type="checkbox"/> Edit	Medium	Guarantee Issuance Clo...	PK2IGCL000071804	PK2IGCL000071804	DataEnrichment	22-03-31	PK2	000153	
<input type="checkbox"/> Edit	Medium	Guarantee Cancellation ...	PK2IGCI000071767	PK2IGCI000071767	Approval Task Level 1	22-03-30	PK2	001044	
<input type="checkbox"/> Edit	Medium	Guarantee SBLC Advise...	PK2IGAC000071725	PK2IGAC000071725	Approval Task Level 1	22-03-28	PK2	001204	
<input type="checkbox"/> Edit	Medium	Islamic Export LC Closure	PK2IECL000071551	PK2IECL000071551	Approval Task Level 1	22-03-23	PK2	001043	
<input type="checkbox"/> Edit	Medium	Islamic ExportLC Amend...	PK2IETB000071466	PK2IETB000071466	KYC Exceptional approval	22-03-22	PK2	001204	
<input type="checkbox"/> Edit	Medium	Guarantee Issuance Am...	PK2IGTM000071450	PK2IGTM000071450	Registration	22-03-22	PK2	000153	
<input type="checkbox"/> Edit	Medium	Guarantee Issuance Am...	PK2IGTM000071448	PK2IGTM000071448	Registration	22-03-22	PK2	001044	
<input type="checkbox"/> Edit	Medium	Guarantee Issuance Clo...	PK2GTEC000071396	PK2GTEC000071396	DataEnrichment	22-03-17	PK2	001044	
<input type="checkbox"/> Edit	Medium	Guarantee Issuance Clo...	PK2GTEC000071394	PK2GTEC000071394	DataEnrichment	22-03-17	PK2	001044	

The Data Enrichment stage has five sections as follows:

- Main Details
- Additional Fields
- Advices
- Additional Details
- Settlement Details
- Summary

Let's look at the details for Data Enrichment stage. User can enter/update the following fields as part of settlement of claim under Guarantee/SBLC - Data Enrichment Stage.

## Main Details

Main details section has three sub section as follows:

- Application Details
- Guarantee Details

## Application Details

All fields displayed under Application details section, would be read only except for the **Priority**. Refer to [Application Details](#) in the Registration stage for more information of the fields.

Islamic Guarantee SBLC Advised - Claim Settlement  
DataEnrichment :: Application No:- PK2IGTC000071855

Documents Remarks Overrides Customer Instruction Incoming Message View Undertaking

Main Application Details - Main Screen (1 / 6)

Guarantee/SBLC Number PK2GUAI211251003	Claim Serial Number 3	Beneficiary ID/Name * 001044 GOODCARE PLC	Branch PK2-PK2-Oracle Banking Trade F...
Process Reference Number PK2IGTC000071855	Priority Medium	Submission Mode Desk	Claim Settlement Date May 5, 2021
Beneficiary Reference Number	Issuing Bank 001043 MARKS AND SP	Issuing Bank Reference Number	Version 1

Guarantee Type	30 Date of Issue May 5, 2021	Purpose of Message ADVI	23B Expiry Type FIXD
31E Date of Expiry Aug 3, 2021	Claim Date May 5, 2021	Claim Expiry Date Aug 3, 2021	Outstanding Currency/ Amount * GBP £1,000.00
40C Applicable Rules URDG - Uniform rules for dema...	Applicant Bank	50 Applicant 001041 WELLS FARGO L	59A Beneficiary 001044 GOODCARE PLC
Advising Bank	Advise Through Bank	Counter Guarantee Issuing Bank	Local Guarantee Issuing Bank
Claim Amount GBP £4.00	Claim Payment Amount GBP	Unlinked FX rate	Status L

Audit Reject Refer Hold Cancel Save & Close Back Next

## Guarantee Details

The fields listed under this section are same as the fields listed under the [Guarantee Details](#) section in [Registration](#). Refer to [Guarantee Details](#) for more information of the fields.

Guarantee Type	30 Date of Issue May 5, 2021	Purpose of Message ADVI	23B Expiry Type FIXD
31E Date of Expiry Aug 3, 2021	Claim Date May 5, 2021	Claim Expiry Date Aug 3, 2021	Outstanding Currency/ Amount * GBP £1,000.00
40C Applicable Rules URDG - Uniform rules for dema...	Applicant Bank	50 Applicant 001041 WELLS FARGO L	59A Beneficiary 001044 GOODCARE PLC
Advising Bank	Advise Through Bank	Counter Guarantee Issuing Bank	Local Guarantee Issuing Bank
Claim Amount GBP £4.00	Claim Payment Amount GBP	Unlinked FX rate	Status L

Audit Reject Refer Hold Cancel Save & Close Back Next

## Action Buttons

Use action buttons based on the description in the following table:

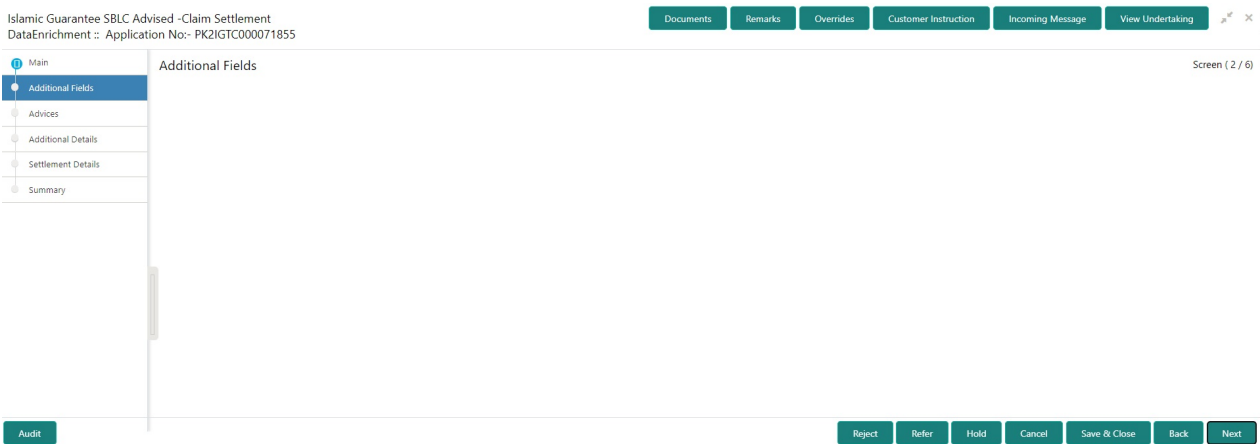
Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a reject reason from a list displayed by the system and the task may be terminated or moved to Reject Approval Stage.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> <p>Select a Reject code and give a reject description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul>	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Cancel	<p>Cancel the Guarantee/ SBLC Claim update DE stage inputs.</p>	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request</p>	
Next	<p>On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p>	



Field	Description	Sample Values
Documents	Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents.	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view overrides, if any.	
Customer Instructions	Click to view/ input the following <ul style="list-style-type: none"> <li>• <b>Standard Instructions</b> – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li> <li>• <b>Transaction Level Instructions</b> – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li> </ul>	
Common Group Message	Click Common Group Message button, to send MT799 and MT999 messages from within the task.	
Incoming Message	Clicking this button allows the user should be able to see the message in case of STP of incoming MT 767.	
View Undertaking	Clicking this button allows the user should to view the undertaking details.	

## Additional Fields

This stage displays the additional fields based on the User defined fields maintained in the system.



## Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a reject reason from a list displayed by the system and the task may be terminated or moved to Reject Approval Stage.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance/Limits</li> <li>● R5 - Others.</li> </ul> <p>Select a Reject code and give a reject description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance/Limits</li> <li>● R5 - Others.</li> </ul>	



Field	Description	Sample Values
Hold	The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Cancel	On click of Cancel the user can cancel the task window and return to the dashboard. The data input will not be saved.	
Save & Close	Save the information provided and holds the task in 'My Tasks' for working later. This option will not submit the request	
Back	On clicking Back, system should move the task to the previous segment.	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	
Documents	Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents.	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view overrides, if any.	
Incoming Message	Clicking this button allows the user should be able to see the message in case of STP of incoming MT 767.	
View Undertaking	Clicking this button allows the user should to view the undertaking details.	

## Advices

A Data Enrichment User can verify the advices details data segment of the incoming Islamic guarantee claim Settlement request. This section defaults the advices maintained for the product based on the advices maintained at the Product level.

The user can also suppress the Advice, if required.

Field	Description	Sample Values
Suppress Advice	<b>Toggle on:</b> Switch on the toggle if advice is suppressed. <b>Toggle off:</b> Switch off the toggle if suppress advice is not required for the amendments	
Advice Name	User can select the instruction code as a part of free text.	
Medium	The medium of advices is defaulted from the system. User can update if required.	
Advice Party	Value be defaulted from Guarantee /SBLC Advise. User can update if required.	
Party ID	Value be defaulted from Guarantee /SBLC Advise. User can update if required.	

Field	Description	Sample Values
Party Name	Read only field. Value be defaulted from Guarantee /SBLC Adise.	
Free Format Text		
FFT Code	User can select the FFT code as a part of free text.	
FFT Description	FFT description is populated based on the FFT code selected.	
	Click plus icon to add new FFT code.	
Action	Click Delete icon to remove any existing FFT code. Click Edit icon to edit the existing FFT code.	
Instruction Details		
Instruction Code	User can select the instruction code as a part of free text.	
Instruction Description	Instruction description is populated based on the FFT code selected.	
	Click plus icon to add new instruction code.	
Action	Click Delete icon to remove any existing instruction code. Click Edit icon to edit the existing instruction code.	

## Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a reject reason from a list displayed by the system and the task may be terminated or moved to Reject Approval Stage.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> <p>Select a Reject code and give a reject description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul>	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Cancel	<p>On click of Cancel the user can cancel the task window and return to the dashboard. The data input will not be saved.</p>	
Save & Close	<p>Save the information provided and holds the task in 'My Tasks' for working later.</p> <p>This option will not submit the request</p>	
Back	<p>On clicking Back, system should move the task to the previous segment.</p>	
Next	<p>On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p>	

Field	Description	Sample Values
Documents	Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents.	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view overrides, if any.	
Incoming Message	Clicking this button allows the user should be able to see the message in case of STP of incoming MT 767.	
View Undertaking	Clicking this button allows the user should to view the undertaking details.	

## Additional Details

A DE user can verify and enter the basic additional details available in the Claim Settlement request. In case the request is received through online channel user will verify the details populated. As a part of Additional details section, Guarantee /Standby claim settlement may have impact on Charges.

If any of the fields in the financial section of the pop up screen is checked then the limits and collaterals screen will be enabled.

Islamic Guarantee SBLC Advised - Claim Settlement  
DataEnrichment :: Application No:- PK2IGTC000071855

Documents Remarks Overrides Customer Instruction Incoming Message View Undertaking

Main  
Additional Fields  
Advices  
Additional Details  
Settlement Details  
Summary

Additional Details

Limit & Collateral	Charge Details
Limit Currency :	Charge :
Limit Contribution :	Commission :
Limit Status :	Tax :
Collateral Currency :	Block Status :
Collateral Contribution :	
Collateral Status :	

Audit Reject Refer Hold Cancel Save & Close Back Next

Screen (4 / 6)

## Charge Details

On landing the Additional Details section, the default commission, charges and tax if any will get populated.

If default charges are available under the product, they should be defaulted here with values. If customer or customer group specific charges are maintained, then the same will be defaulted from back end system.

Charge Details x

Component	Currency	Amount	Modified	Billing	Defer	Waive	Charge Party	Settlement Account
LCGCLM	GBP	£50.00		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		PK20010440017 <span style="float: right;">Q</span>

Provide the Charge Details based on the description provided in the following table:

Field	Description	Sample Values
Component	Charge Component type.	
Currency	Defaults the currency in which the charges have to be collected.	
Amount	An amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Modified	From the default value, if the rate is changed or the amount is changed, the same gets updated in 'Modified' field.	
Billing	<p>If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is 'Billing' enabled, 'Billing' toggle for that component should be automatically checked in OBTFPM.</p> <p>The user can not select/de-select the check box if it is de-selected by default.</p> <p>This field is disabled, if 'Defer' toggle is enabled.</p>	
Defer	<p>If charges have to be deferred and collected at any future step, this check box has to be selected.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is AR-AP tracking enabled, 'Defer' toggle for that component should be automatically checked in OBTFPM.</p> <p>The user can select/de-select the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.</p>	



Field	Description	Sample Values
Waive	<p>If charges have to be waived, this check box has to be selected.</p> <p>Based on the customer maintenance, the charges should be marked for Billing or for Defer.</p> <p>If the user changes the defaulted charging to defer or billing or waive, system must capture the user details and the modification details in the 'Remarks' placeholder.</p> <p>This field is disabled, if 'Defer' toggle is enabled.</p>	
Charge Party	Charge party will be applicant by default. User can change the value to beneficiary	
Settlement Account	Details of the settlement account.	

## Commission Details

The values gets defaulted, In the Commission Details section, If default commission is maintained under the product.

Commission Details

Component	Rate	Modified	Currency	Amount	Modified	Defer	Waive	Charge Party	Settlement Account
No data to display.									
Page 1 (0 of 0 items) < 1 >									

Provide the Commission Details based on the description provided in the following table:

Field	Description	Sample Values
Component	Select the commission component	
Rate	Defaults from product. User can change the rate, if required.	
Currency	Defaults the currency in which the commission has to be collected.	
Amount	An amount that is maintained under the product code defaults in this field. User can modify the value, if required.	
Modified Amount	From the default value, if the rate is changed or the amount is changed, the same gets updated in 'Modified' field.	
Billing	If charges/commission is handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.	
Defer	Select the check box, if charges/commissions has to be deferred and collected at any future step.	

Field	Description	Sample Values
Waive	Select the check box to waive charges/ commission.  Based on the customer maintenance, the charges/commission can be marked for Billing or Defer.  If the user changes the defaulted Commission to defer or billing or waive, system must capture the user details and the modification details in the 'Remarks' placeholder.	
Charge Party	Charge party will be 'Applicant' by Default. You can change the value to Beneficiary.	
Settlement Account	Details of the Settlement Account.	

## Tax Details

The tax component is calculated based on the commission. The tax component defaults if maintained in the product level. Tax detail cannot be updated by you and any change in Tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system.

 Tax Details

Component	Currency	Amount	Billing	Defer	Settlement Account
No data to display.					

Following Tax Details will be displayed:

Field	Description	Sample Values
Component	Tax Component type.	
Currency	The tax currency is the same as the commission.	
Amount	The tax amount defaults based on the percentage of commission maintained. User can edit the tax amount, if required.	
Settlement Account	Details of the settlement account.	

## Preview Message

The bank user can view a preview of the message and advice simulated from back office which is based on the guarantee Standby Advised captured in the previous screen.

Preview Message

Preview - SWIFT Message

Language: English | Message Type: 730

Preview Message

```
{1:F01AAEMNL21A0001111111111}
{2:I730CITIIGB2LXRRRN}
{3:{108:1072153878070165}}
{4:
:20:PK2GUAD19081ASXT
:21:CITIIGB2LRRR
:30:190506
-}
```

Preview - Mail Advice

Language: English | Advice Type: FIXNETIX

Preview Message

Debit Advice

11-JAN-20

FIXNETIX  
FIXNETIX  
PKBANK41XXX

Save & Close | Close

The Preview section consists of following.

Field	Description	Sample Values
Preview SWIFT Message		
Language	Select the language for the SWIFT message.	
Message Type	Select the message type.	
Preview Message	Display a preview of the draft message.	
Preview Mail Device		
Language	Select the language for the advice message.	
Advice Type	Select the advice type.	
Preview Message	This toggle enables the user to select if draft confirmation is required or not	

### Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a reject reason from a list displayed by the system and the task may be terminated or moved to Reject Approval Stage.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance/Limits</li> <li>● R5 - Others.</li> </ul> <p>Select a Reject code and give a reject description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance/Limits</li> <li>● R5 - Others.</li> </ul>	

Field	Description	Sample Values
Hold	The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Cancel	On click of Cancel the user can cancel the task window and return to the dashboard. The data input will not be saved.	
Save & Close	Save the information provided and holds the task in 'My Tasks' for working later. This option will not submit the request	
Back	On clicking Back, system should move the task to the previous segment.	
Next	Click Next to move to next logical step in Data Enrichment stage.	
Documents	Click the Document icon to view the Documents already uploaded as part of Registration stage and also can upload additional documents.	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Incoming Message	Clicking this button allows the user should be able to see the message in case of STP of incoming MT 767.	

## Settlement Details

A DE user can verify and enter the basic settlement details available in the Claim Settlement request. In case the request is received through online channel user will verify the details populated.

Islamic Guarantee SBLC Advised -Claim Settlement  
DataEnrichment :: Application No:- PK2IGTC000071855

[Documents](#)
[Remarks](#)
[Overrides](#)
[Customer Instruction](#)
[Incoming Message](#)
[View Undertaking](#)

Screen ( 5 / 6 )

- 1 Main
- 2 Additional Fields
- 3 Advices
- 4 Additional Details
- 5 Settlement Details
- 6 Summary

### Settlement Details

Current Event

Component	Currency	Debit/Credit	Account	Account Description	Account Currency	Netting Indicator	Current Event
AVL_SET_ICAMT	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No
AVL_SET_ICAMTEQ	GBP	Credit	PK20010440017	GOODCARE PLC	GBP	No	No
COLLAMT_OSEQ	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No
COLLAMNDAMTEQ	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No
COLL_AMTEQ	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No
COLL_AMT_DECR	GBP	Credit	PK20010440017	GOODCARE PLC	GBP	No	No
COLL_AMT_INCR	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No
COLL_AVALAMTEQ	GBP	Credit	PK20010440017	GOODCARE PLC	GBP	No	No
LCEXADV_LIQD	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No
LCGCLM_LIQD	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No

[Audit](#)
[Reject](#)
[Refer](#)
[Hold](#)
[Cancel](#)
[Save & Close](#)
[Back](#)
[Next](#)

Provide the settlement details based on the description in the following table:

Field	Description	Sample Values
Current Event	The user can select the check box to populate the settlement details of the current event associated with the task. On De-selecting the check box, the system list all the accounts under the settlement details irrespective of the current event.	
Component	Read only field. System defaults the value from Guarantee /SBLC claim.	
Currency	Read only field. System defaults the value from Guarantee /SBLC claim.	
Debit/Credit	Read only field. System defaults the value from Guarantee /SBLC claim.	
Account	Read only field. System defaults the value from Guarantee /SBLC claim.	
Account Description	Read only field. System defaults the value from Guarantee /SBLC claim.	
Account Currency	Read only field. System defaults the value from Guarantee /SBLC claim.	
Netting Indicator	Read only field. System defaults the value from Guarantee /SBLC claim.	
Current Event	System displays the current event as Y or N.	

On click of any component in the grid, the application displays Party Details, Payment Details and Remittance Information.

## Party Details

Provide the party details based on the description in the following table:

Field	Description	Sample Values
Transfer Type	Select the transfer type from the drop list: <ul style="list-style-type: none"> <li>• Customer Transfer</li> <li>• Bank Transfer for own account</li> <li>• Direct Debit Advice</li> <li>• Managers Check</li> <li>• Customer Transfer with Cover</li> <li>• Bank Transfer</li> </ul>	
Charge Details	Select the charge details for the transactions: <ul style="list-style-type: none"> <li>• Beneficiary All Charges</li> <li>• Remitter Our Charges</li> <li>• Remitter All Charges</li> </ul>	
Netting Indicator	Select the netting indicator for the component: <ul style="list-style-type: none"> <li>• Yes</li> <li>• No</li> </ul>	
Ordering Customer	Select the ordering customer from the LOV.	
Ordering Institution	Select the ordering institution from the LOV.	
Senders Correspondent	Select the senders correspondent from the LOV.	
Receivers Correspondent	Select the receivers correspondent from the LOV.	
Intermediary Institution	Select the intermediary institution from the LOV.	
Account with Institution	Select the account with institution from the LOV.	
Beneficiary Institution	Select the beneficiary institution from the LOV.	
Ultimate Beneficiary	Select the ultimate beneficiary from the LOV.	
Intermediary Reimbursement Institution	Select the intermediary reimbursement institution from the LOV.	

## Payment Details

Provide the Payment Details based on the description in the following table:

Field	Description	Sample Values
Sender to Receiver 1	Provide the sender to receiver message.	
Sender to Receiver 2	Provide the sender to receiver message.	
Sender to Receiver 3	Provide the sender to receiver message.	
Sender to Receiver 4	Provide the sender to receiver message.	

Field	Description	Sample Values
Sender to Receiver 5	Provide the sender to receiver message.	
Sender to Receiver 6	Provide the sender to receiver message.	

### Remittance Information

Provide the Payment Details based on the description in the following table:

Field	Description	Sample Values
Payment Detail 1	Provide the payment details.	
Payment Detail 2	Provide the payment details.	
Payment Detail 3	Provide the payment details.	
Payment Detail 4	Provide the payment details.	

### Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a reject reason from a list displayed by the system and the task may be terminated or moved to Reject Approval Stage.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance/Limits</li> <li>● R5 - Others.</li> </ul> <p>Select a Reject code and give a reject description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance/Limits</li> <li>● R5 - Others.</li> </ul>	



Field	Description	Sample Values
Hold	The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Cancel	On click of Cancel the user can cancel the task window and return to the dashboard. The data input will not be saved.	
Save & Close	Save the information provided and holds the task in 'My Tasks' for working later. This option will not submit the request	
Back	On clicking Back, system should move the task to the previous segment.	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	
Documents	Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents.	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view overrides, if any.	
Incoming Message	Clicking this button allows the user should be able to see the message in case of STP of incoming MT 767.	
View Undertaking	Clicking this button allows the user should to view the undertaking details.	

## Summary

User can review the summary details in Data Enrichment stage of Guarantee /Standby Claim settlement request.

In this section the user can see the summary tiles. User must be also able to drill down from summary tiles into respective data segments.

Islamic Guarantee SBLC Advised -Claim Settlement  
DataEnrichment :: Application No:- PK2IGTC000071855

Documents Remarks Overrides Customer Instruction Incoming Message View Undertaking

Main Additional Fields Advices Additional Details Settlement Details Summary

Summary Screen ( 6 / 6)

Main	Additional Fields	Advices	Commission, Charges and taxes
Booking Date : 2021-05-05 Submission Mode : Desk Amount : GBP 4	Click here to view : Additional Fields	Advice 1 : Advice 2 :	Charge : Commission : Tax : Block Status : Not Initia
Preview Messages	Payment Details	Settlement Details	Party Details
Language : ENG Preview Message :-	Advance by Loan : Liquidate using : Collateral	Component : Account Number : Currency :	Applicant : WELLS FARG Beneficiary : GOODCARE PLC Confirming Bank : MARKS AND
Compliance	Accounting Details		
KYC : Not Initia Sanctions : Not Initia AML : Not Initia	Event : Account Number : Branch :		

Audit Reject Refer Hold Cancel Save & Close Back Next Submit

### Tiles Displayed in Summary

- Main Details - User can view the application details and Guarantee/ Standby details. User can modify the details if required.
- Party Details - User can view the party details like beneficiary, advising bank etc.
- Payment Details - User can view the payment details.
- FX Linkage – User can view the FX Linkages.
- Charges - User can view the details provided for charges. User can modify the details if required.
- Preview Message - User can drill down to view the message preview, legal verification and customer draft confirmation details.
- Settlement Details – User should be able to view the settlement details.
- Split Settlement Details – User should be able to view the split settlement details.
- Accounting Entries - User can see the accounting details.



#### Note

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message “Value Date is different from Transaction Date for one or more Accounting entries.

- Compliance – The compliance tile has the KYC, Sanctions and AML.

## Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a reject reason from a list displayed by the system and the task may be terminated or moved to Reject Approval Stage.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance/Limits</li> <li>● R5 - Others.</li> </ul> <p>Select a Reject code and give a reject description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance/Limits</li> <li>● R5 - Others.</li> </ul>	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Cancel	<p>On click of Cancel the user can cancel the task window and return to the dashboard. The data input will not be saved.</p>	
Save & Close	<p>Save the information provided and holds the task in 'My Tasks' for working later.</p> <p>This option will not submit the request</p>	
Back	<p>On clicking Back, system should move the task to the previous segment.</p>	
Submit	<p>On clicking Submit, system validates for all mandatory field values and the task should move to the next logical stage. If the user submits without visiting other mandatory steps, then error message is displayed and force the user to visit mandatory tabs/update mandatory fields.</p>	

Field	Description	Sample Values
Documents	Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents.	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view overrides, if any.	
Incoming Message	Clicking this button allows the user should be able to see the message in case of STP of incoming MT 767.	
View Undertaking	Clicking this button allows the user should to view the undertaking details.	

## Multi Level Approval

This stage allows the approver user to approve a Claim settlement under Guarantee Advise Transaction.

The user can view the summary of details updated in multilevel approval stage for Islamic Guarantee Claim Settlement request.

Log in into OBTFPM application and open the task to see the summary tiles. The tiles should display a list of important fields with values. User must be able to drill down from summary Tiles into respective data segments to verify the details of all fields under the data segment.

## Re-Key Authorization

The application will request approver for few critical field values as an authorization step. If the values captured match with the values available in the screen, system will allow user to open the transaction screens for further verification. If the re-key values are different from the values captured, then application will display an error message.

Open the task and re-key some of the critical field values from the request in the Re-key screen. Some of the fields below will dynamically be available for re-key.:

- Applicant Name
- Beneficiary Name
- Undertaking Currency
- Undertaking Amount
- Expiry Date

Re-key is applicable to the first approver in case of multiple approvers. All approvers will however be able to see the summary tiles and the details in the screen by drill down from tiles.

Approval Rekey
✕

📄 Documents
🗨️ Remarks

Claim Amount

£25,000.00
✓

Claim Currency

GBP
✓

Expiry Date

Jan 26, 2021
📅

✓

Refer
Close
Proceed

In Approval, the user can view a snapshot of the amendment made to this transaction. Corresponding to the field the current latest Guarantee value and the new amended value should be displayed.

### Approval Summary

Islamic Guarantee SBLC Advised - Claim Settlement				
Approval Task Level 1 :: Application No:- PK2IGTC000071855				
<span>📄 Documents</span> <span>🗨️ Remarks</span> <span>🔄 Overrides</span> <span>👤 Customer Instruction</span> <span>📬 Incoming Message</span> <span>📄 View Undertaking</span>				
Main	Additional Fields	Advices	Commission, Charges and taxes	Preview Messages
Booking Date : <b>2021-05-05</b> Submission Mode : <b>Desk</b> Amount : <b>GBP 4</b>	Click here to view Additional fields	Advice 1 : Advice 2 :	Charge : Commission : Tax : Block Status : <b>Not Initia</b>	Language : <b>ENG</b> Preview Message : -
Payment Details	Settlement Details	Party Details	Compliance	Accounting Details
Advance by Loan : Liquidate using : Collateral :	Component : Account Number : Currency :	Beneficiary : <b>GOODCARE PLC</b> Confirming Bank : <b>MARKS AND</b> Applicant : <b>WELLS FARG</b>	KYC : <b>Not Verified</b> Sanctions : <b>Verified</b> AML : <b>Verified</b>	Event : Account Number : Branch :
Exception(Approval)				
AmountBlock.KYC : <b>EXCEPTION</b> PLEASE VISIT : - REMARKS FOR MORE DETAILS				
<span>Audit</span> <span>Reject</span> <span>Hold</span> <span>Refer</span> <span>Cancel</span> <span>Approve</span>				

#### Tiles Displayed in Summary

- Main Details - User can view the application details and Guarantee/ Standby details. User can modify the details if required.
- Party Details - User can view the party details like beneficiary, advising bank etc.
- Payment Details - User can view the payment details.
- FX Linkage – User can view the FX Linkages.
- Charges - User can view the details provided for charges. User can modify the details if required.

- Preview Message - User can drill down to view the message preview, legal verification and customer draft confirmation details.
- Settlement Details – User should be able to view the settlement details.
- Split Settlement Details – User should be able to view the split settlement details.
- Accounting Entries - User can see the accounting details.



**Note**  
When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message “Value Date is different from Transaction Date for one or more Accounting entries.

- Compliance – The compliance tile has the KYC, Sanctions and AML

## Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a reject reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance/Limits</li> <li>● R5 - Others.</li> </ul> <p>Select a Reject code and give a reject description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance- Limits</li> <li>● R5 - Others</li> </ul>	
Cancel	Cancel the Guarantee Advise approval.	

Field	Description	Sample Values
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting.	

## A

Additional Details .....	19
Additional Fields .....	14
Action Buttons .....	14
Advices .....	16
Action Buttons .....	18
Application Details .....	4
Approval Summary .....	32

## B

Benefits .....	1
----------------	---

## C

Charge Details .....	19
Commission Details .....	20
Common Initiation Stage .....	2
Action Buttons .....	2

## D

Data Enrichment .....	9
-----------------------	---

## F

FX Linkage .....	22
------------------	----

## G

Guarantee Details .....	6
-------------------------	---

## K

Key Features .....	1
--------------------	---

## M

Main Details .....	11
Action Buttons .....	13
Application .....	11
Application Details .....	11
Guarantee Details .....	12
Miscellaneous .....	8
Multi Level Approval .....	31
Action Buttons .....	33
Summary .....	32

## O

Overview .....	1
----------------	---

## P

Preview Message .....	22
-----------------------	----

## R

Registration .....	3
Application Details .....	4
Guarantee Details .....	6
Miscellaneous .....	8
Re-Key Authorization .....	31

## T

Tax Details .....	21
-------------------	----



### References

For more information on any related features, you can refer to the following documents:

- Getting Started User Guide
- Common Core User Guide

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